APPLICATION FOR FEDERAL ASSISTAN	ICE	3. DATE RECE	IVED BY STATE	State Application Identifier
SF 424 (R&R)				
1. TYPE OF SUBMISSION		4. a. Federal Id	lentifier N0	0014
Pre-application Application Change	ed/Corrected Application	b. Agency Rou	iting Identifier 03	5 [Millsaps, Knox]
2. DATE SUBMITTED Applicant Ident	ifier	1		
08/19/2020 OSP#217835		c. Previous Gra Tracking ID	ants.gov	
5. APPLICANT INFORMATION		Organizat	tional DUNS:	03403953
Legal Name: PENNSYLVANIA STATE UNIVER	SITY-UNIV PARK			
Department:	Division:			
Street1: Office of Sponsored Programs				
Street2: 110 Technology Center Building	ng			
City: University Park	County / Paris	h: Centre		
State: PA: Pen	nsylvania		Province:	
Country: USA: UNIT	TED STATES	Z	IP / Postal Code:	16802-7000
Person to be contacted on matters involving this a	application			
Prefix: First Name: You	you		Middle Name	:
Last Name: Cheng			Suffix:	
Position/Title: Director of Grants and Con	ntracts			
Street1: 112 Hammond Building				
Street2:				
City: University Park	County / Paris	sh: Centre		
State: PA: Per	nnsylvania		Province:	
Country: USA: UNI	ITED STATES		ZIP / Postal Code	: 16802-7000
Phone Number: 814-865-6185	Fax Number:			
Email: yuc33@psu.edu				
6. EMPLOYER IDENTIFICATION (EIN) or (TIN):	246000376			
7. TYPE OF APPLICANT:		X: Other (specify)	
Other (Specify): State Related Instituti	on of Higher Educat	ion		
Small Business Organization Type Wo	men Owned Socia	Ily and Economic	ally Disadvantaged	d
8. TYPE OF APPLICATION:	If Revision, mark a	ppropriate box(es	s).	
New Resubmission	A. Increase Av	ward B. Decre	ease Award C. I	ncrease Duration D. Decrease Duration
Renewal Continuation Revision	E. Other (spec	cify):		
Is this application being submitted to other agenci	es? Yes No W	hat other Agencie	es?	
9. NAME OF FEDERAL AGENCY:				SISTANCE NUMBER: 12.300
Office of Naval Research	IIILE: Ba	asic and Appli	ied Scientific	Research
11. DESCRIPTIVE TITLE OF APPLICANT'S PRO				
Supporting the Navy Educational Work:	force Through Divers	sity and Incl	usion	
12. PROPOSED PROJECT: 13. CONG	SRESSIONAL DISTRICT	OF APPLICANT		
Start Date Ending Date				
10/01/2020 09/30/2024 PA-012				

14. PROJECT DIRECTOR/PRINCIPAL INVESTIGATOR CONTACT INFORMATION	
Prefix: Dr. First Name: Karen	Middle Name: Ann
Last Name: Thole	Suffix:
Position/Title: Department Head and Distinguished Professor	
Organization Name: PENNSYLVANIA STATE UNIVERSITY-UNIV PARK	
Department: Mechanical Engineering Division: Engineering	
Street1: 0137 REBER BUILDING	
Street2:	
City: UNIVERSITY PARK County / Parish:	
State: PA: Pennsylvania	Province:
Country: USA: UNITED STATES	ZIP / Postal Code: 16802-7000
Phone Number: 814-865-2519 Fax Number:	
Email: kat18@psu.edu	
15. ESTIMATED PROJECT FUNDING 16. IS APPLIC 12372 PROCE	ATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER SS?
La Total Endaral Eurada Baguastad	THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372
,	PROCESS FOR REVIEW ON:
c. Total Federal & Non-Federal Funds	TE:
b. NO	PROGRAM IS NOT COVERED BY E.O. 12372; OR
F	PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
administrative penalties. (U.S. Code, Title 18, Section 1001) I agree *The list of certifications and assurances, or an Internet site where you may obtain this list, is contained. 18. SFLLL (Disclosure of Lobbying Activities) or other Explanatory Documentation.	
Add	Attachment Delete Attachment View Attachment
19. Authorized Representative	
Prefix: Dr. First Name: John	Middle Name: W.
Last Name: Hanold	Suffix:
Position/Title: Associate VP for Research	
Organization: PENNSYLVANIA STATE UNIVERSITY-UNIV PARK	
Department: Office of Sponsored Programs Division: Research - 0	ther
Street1: 0110 Technology Center	
Street2:	
City: University Park County / Parish: Centre	
State: PA: Pennsylvania	Province:
Country: USA: UNITED STATES	ZIP / Postal Code: 16802-7000
Phone Number: 814-865-1372 Fax Number: 814-863-3413	
Email: osp@psu.edu	
Signature of Authorized Representative	Date Signed
John W. Hanold	08/19/2020
20. Pre-application	Add Attachment Delete Attachment View Attachment
21. Cover Letter Attachment	Add Attachment Delete Attachment View Attachment
	2 state / the stat

RESEARCH & RELATED Other Project Information

1. Are Human Subjects Involved? Yes No
1.a. If YES to Human Subjects
Is the Project Exempt from Federal regulations? Yes No
If yes, check appropriate exemption number.
If no, is the IRB review Pending? Yes No
IRB Approval Date:
Human Subject Assurance Number:
2. Are Vertebrate Animals Used? Yes No
2.a. If YES to Vertebrate Animals
Is the IACUC review Pending?
IACUC Approval Date:
Animal Welfare Assurance Number:
3. Is proprietary/privileged information included in the application?
4.a. Does this Project Have an Actual or Potential Impact - positive or negative - on the environment?
4.b. If yes, please explain:
4.c. If this project has an actual or potential impact on the environment, has an exemption been authorized or an environmental assessment (EA) or environmental impact statement (EIS) been performed?
4.d. If yes, please explain:
5. Is the research performance site designated, or eligible to be designated, as a historic place?
5.a. If yes, please explain:
6. Does this project involve activities outside of the United States or partnerships with international collaborators?
6.a. If yes, identify countries:
6.b. Optional Explanation:
7. Project Summary/Abstract Add Attachment Delete Attachment View Attachment
8. Project Narrative Technical_Proposal1027465505.pdf Add Attachment Delete Attachment View Attachment
9. Bibliography & References Cited Add Attachment Delete Attachment View Attachment
10. Facilities & Other Resources Add Attachment Delete Attachment View Attachment
11. Equipment
12. Other Attachments Add Attachments Delete Attachments View Attachments

OMB Number: 4040-0010 Expiration Date: 12/31/2022

Project Abstract

The Project Abstract must not exceed one page and must contain a summary of the proposed activity suitable for dissemination to the public. It should be a self-contained description of the project and should contain a statement of objectives and methods to be employed. It should be informative to other persons working in the same or related fields and insofar as possible understandable to a technically literate lay reader. This Abstract must not include any proprietary/confidential information.

* Please click the add attachment button to complete this entry.

ProjSummary1027437259.pdf

ORGANIZATI	ONAL DUNS:	003403953	E	nter name of O	rganization:	PENN	SYLVANIA	STATE 1	UNIVERSITY	-UNIV PARK		
Budget Type:	⊠ Project	Subaw	ard/Consortium			Budge	et Period:	1 S	Start Date:	10/01/2020	End Date: 09/30/20	21
A. Senior/Ke	y Person											
Prefix	First	Middle	Last	Suffix	Bas	se Salary	(\$)	Mont al. Aca		Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
	Karen	Ann	Thole				.00	0.00	0.00	0.0	0.00	0.00
Project Role	: PD/PI											
Additional Senio	or Key Persons:			Ado	I Attachment	Delete	Attachme	nt	/ Attachment		s requested for all Senior rsons in the attached file	
											Total Senior/Key Person	0.00
3. Other Pers	sonnel											
Number of Personnel	Projec	t Role				Cal.	Months Acad.	Sum.		quested lary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
	Post Doctora	l Associates										
	Graduate Stu	idents										
	Undergradua	te Students										
	Secretarial/C	lerical										
	Total Number	Other Personi	nel								Total Other Personnel	
								Total	Salary, Wa	ages and Fr	inge Benefits (A+B)	0.00
	nt Descriptio											
List items and Equipment		nt for each ite	em exceeding \$	5,000				Fun	ds Requeste	ed (\$)		
									•			
Additional Equi	ipment:			А	dd Attachmer	nt [Delete Atta	chment	View Atta	chment		
		To	otal funds reques	ted for all equipn	nent listed in	the attac	ched file					
						Total Eq	uipment					

D.	Travel		Funds Requested (\$)
1.	Domestic Travel Costs (Incl. Canada, Mexico and U.S. Posses	sions)	0.00
2.	Foreign Travel Costs		0.00
		Total Travel Cost	0.00
E.	Participant/Trainee Support Costs		Funds Requested (\$)
1.	Tuition/Fees/Health Insurance		0.00
2.	Stipends		0.00
3.	Travel		0.00
4.	Subsistence		0.00
5.	Other		
	Number of Participants/Trainees Tot	al Participant/Trainee Support Costs	0.00

F. Other Direct Costs			Funds Requested (\$)
Materials and Supplies			
2. Publication Costs			
3. Consultant Services			
4. ADP/Computer Services			
5. Subawards/Consortium/Contractual Costs			
6. Equipment or Facility Rental/User Fees			
7. Alterations and Renovations			
8. All Other Direct Costs			50,000.00
9.			
0.			
	٦	Total Other Direct Costs	50,000.00
G. Direct Costs			Funds Requested (\$)
	Total Dire	ect Costs (A thru F)	50,000.00
H. Indirect Costs			
Indirect Cost Type	Indirect Cost Rate (%)	Indirect Cost Base (\$)	Funds Requested (\$)
MTDC	0.00	0.00	0.00
		Total Indirect Costs	0.00
Cognizant Federal Agency			
Agency Name, POC Name, and Office of Naval Resear	cch, Chicago Office, 312-	-886-5423	
. Total Direct and Indirect Costs			Funds Requested (\$)
	irect and Indirect Institut	tional Costs (G + H)	50,000.00
J. Fee			Funds Requested (\$)
			0.00
K. Total Costs and Fee			Funds Requested (\$)
	Total C	osts and Fee (I + J)	50,000.00
Budget Justification			
Only attach one file)	Add Attachn	nent Delete Attachme	nt View Attachment

												ı
ORGANIZATI	ONAL DUNS:	003403953	Er	nter name of O	rganization:	PENN	SYLVANIA	A STATE	UNIVERSIT	Y-UNIV PARK		1
Budget Type:	Project	Subawa	ard/Consortium			Budge	et Period	: 2	Start Date:	10/01/2021	End Date: 09/30/20	22
						J						_
. Senior/Ke	y Person											
								Mont		Requested	Fringe	Funds
Prefix	First	Middle	Last	Suffix	Bas	e Salary	(4)	Cal. Aca		Salary (\$)	Benefits (\$)	Requested (\$)
	Karen	Ann	Thole			0	.00	0.00	0.00	0.0	0.00	0.00
Project Role	PD/PI											
									A 1	■ Total Funds	requested for all Senior	
dditional Seni	or Key Persons:			Add	d Attachment	Delete	Attachme	view	v Attachmen		sons in the attached file	
										-	Total Senior/Key Person	0.0
3. Other Pers	sonnel											
Number of							Months		Do	au a a da d	Frings	Funds
Personnel	Projec	t Role				Cal.	Acad.	Sum.		quested alary (\$)	Fringe Benefits (\$)	Requested (\$)
	Post Doctora	l Associates										
	Graduate Stu	idents										
	Undergradua	te Students										
	Secretarial/C											
							I L		J L			
	Total Number	Other Personn	nel								Total Other Personnel	
								Total	Salary, W	lages and Fri	nge Benefits (A+B)	0.0
C. Equipme	nt Descriptio	n										
List items and	d dollar amoui	nt for each ite	em exceeding \$	5,000								
Equipment	titem							Fun	nds Request	ted (\$)		
Additional Equ	ipment:			A	Add Attachmer	nt	Delete Atta	chment	View Atta	achment		
		To	otal funds request	ted for all equipr	ment listed in	the attac	ched file					
						Total Eq	uipment					

D.	Travel		Funds Requested (\$)
1.	Domestic Travel Costs (Incl. Canada, Mexico and U.S. Posses	sions)	0.00
2.	Foreign Travel Costs		0.00
		Total Travel Cost	0.00
E.	Participant/Trainee Support Costs		Funds Requested (\$)
1.	Tuition/Fees/Health Insurance		0.00
2.	Stipends		0.00
3.	Travel		0.00
4.	Subsistence		0.00
5.	Other		
	Number of Participants/Trainees Tot	al Participant/Trainee Support Costs	0.00

F.	Other Direct Costs					Funds Requested (\$)
1.	Materials and Supplies					
2.	Publication Costs					
3.	Consultant Services					
4.	ADP/Computer Services					
5.	Subawards/Consortium/Contractual Costs					
6.	Equipment or Facility Rental/User Fees					
7.	Alterations and Renovations					
8.	All Other Direct Costs					50,000.0
9.						
10.						
				Total Oth	er Direct Costs	50,000.0
G . I	Direct Costs					Funds Requested (\$)
			Total Di	rect Cos	ts (A thru F)	50,000.00
<u>H. I</u>	ndirect Costs					
	Indirect Cost Type	Indirect C	ost Rate (%)	Indirect	Cost Base (\$)	Funds Requested (\$)
	MTDC	0.00)		0.00	0.0
				Total In	direct Costs	0.00
	nizant Federal Agency ncy Name, POC Name, and					
	Phone Number) Office of Naval Resear	rch, Chicago	Office, 312	2-886-54	23	
<u>I. T</u>	otal Direct and Indirect Costs					Funds Requested (\$)
	Total D	irect and Ind	irect Institu	itional C	osts (G + H)	50,000.00
<u>J. F</u>	ee					Funds Requested (\$)
						0.0
<u>K.</u> -	Total Costs and Fee					Funds Requested (\$)
			Total (Costs ar	nd Fee (I + J)	50,000.00
<u>L. E</u>	Budget Justification					
(Onl	y attach one file.) Basic_Budget_Justification	_20201027465	Add Attach	ment	Delete Attachmer	View Attachment

ORGANIZATIO	ONAL DUNS:	003403953		Enter name o	f Organization	PENN	ISYLVANIA	STATE	UNIVERSI	TY-UNIV PARK		
Budget Type:		Subaw	ard/Consortium	ı		Budge	et Period:	3 ;	Start Date	: 10/01/2022	End Date: 09/30/20	23
. Senior/Key	/ Person											
Prefix	First	Middle	Last	Suffix	Bas	se Salary	(\$)	Mont	ths ad. Sum.	Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
	Karen	Ann	Thole			0	.00	0.00	0.00	0.0	0.00	0.00
Project Role:	PD/PI											
dditional Senio	r Key Persons:				Add Attachment	Delete	e Attachme	nt Viev	w Attachme	Total Funds Key Per	requested for all Senior sons in the attached file	
										7	Total Senior/Key Person	0.0
3. Other Pers	sonnel											
Number of Personnel	Project	t Role				Cal.	Months Acad.	Sum.		equested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
	Post Doctoral	Associates										
	Graduate Stu	dents										
	Undergraduat	te Students										
	Secretarial/CI	erical										
	Total Number	Other Personi	nel								Total Other Personnel	
								Total	Salary, V	Nages and Fri	nge Benefits (A+B)	0.0
									•		. , ,	
C. Equipmen	nt Description	n										
List items and	l dollar amour	nt for each ite	em exceeding	\$5,000						_		
Equipment	item							Fur	nds Reques	sted (\$)		
Additional Equi	nmant.				Add Attachman	nt I	Doloto Atto	ahmant	\/: a.v. A.t	ita ah mant		
Additional Equi	pinent:				Add Attachme		Delete Atta	unnent	view At	tachment		
		To	otal funds reque	ested for all eq	uipment listed in							
						Total Eq	uipment					

D.	Travel		Funds Requested (\$)
1.	Domestic Travel Costs (Incl. Canada, Mexico and U.S. Posses	sions)	0.00
2.	Foreign Travel Costs		0.00
		Total Travel Cost	0.00
E.	Participant/Trainee Support Costs		Funds Requested (\$)
1.	Tuition/Fees/Health Insurance		0.00
2.	Stipends		0.00
3.	Travel		0.00
4.	Subsistence		0.00
5.	Other		
	Number of Participants/Trainees Tot	al Participant/Trainee Support Costs	0.00

F.	Other Direct Costs					Funds Requested (\$)
1.	Materials and Supplies					
2.	Publication Costs					
3.	Consultant Services					
4.	ADP/Computer Services					
5.	Subawards/Consortium/Contractual Costs					
6.	Equipment or Facility Rental/User Fees					
7.	Alterations and Renovations					
8.	All Other Direct Costs					50,000.0
9.						
10.						
				Total Oth	er Direct Costs	50,000.0
G . I	Direct Costs					Funds Requested (\$)
			Total Di	rect Cos	ts (A thru F)	50,000.00
<u>H. I</u>	ndirect Costs					
	Indirect Cost Type	Indirect C	ost Rate (%)	Indirect	Cost Base (\$)	Funds Requested (\$)
	MTDC	0.00)		0.00	0.0
				Total In	direct Costs	0.00
	nizant Federal Agency ncy Name, POC Name, and					
	Phone Number) Office of Naval Resear	rch, Chicago	Office, 312	2-886-54	23	
<u>I. T</u>	otal Direct and Indirect Costs					Funds Requested (\$)
	Total D	irect and Ind	irect Institu	itional C	osts (G + H)	50,000.00
<u>J. F</u>	ee					Funds Requested (\$)
						0.0
<u>K.</u> -	Total Costs and Fee					Funds Requested (\$)
			Total (Costs ar	nd Fee (I + J)	50,000.00
<u>L. E</u>	Budget Justification					
(Onl	y attach one file.) Basic_Budget_Justification	_20201027465	Add Attach	ment	Delete Attachmer	View Attachment

ORGANIZATIO	ONAL DUNS:	003403953	E	Enter name of C	rganization:	PENN	SYLVANI <i>A</i>	STATE U	UNIVERSITY	-UNIV PARK		
Budget Type:	∑ Project	Subaw	ard/Consortium	ı		Budge	et Period:	4 S	Start Date:	10/01/2023	End Date: 09/30/20	24
A. Senior/Key	/ Person											
Prefix	First	Middle	Last	Suffix	Bas	e Salary	(\$)	Monti Cal. Aca		Requested Salary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
	Karen	Ann	Thole				.00	0.00	0.00	0.00	0.00	0.00
Project Role:	PD/PI											
Additional Senio	r Key Persons:			Ad	ld Attachment	Delete	e Attachme	nt View	/ Attachment	Total Funds r Key Pers	requested for all Senior cons in the attached file	
										T	otal Senior/Key Person	0.00
3. Other Pers	onnel											
Number of Personnel	Projec	t Role				Cal.	Months Acad.	Sum.		uested ary (\$)	Fringe Benefits (\$)	Funds Requested (\$)
	Post Doctora	l Associates										
	Graduate Stu	ıdents										
	Undergradua	te Students										
	Secretarial/C	lerical										
	Total Number	Other Personi	nel								Total Other Personnel	
								Total	Salary, Wa	ages and Frir	nge Benefits (A+B)	0.00
C. Equipmen	nt Descriptio	n										
		nt for each ite	em exceeding	\$5,000								
Equipment	item							Fun	ds Requeste	ed (\$)		
Additional Equi	pment:				Add Attachmer	nt [Delete Atta	chment	View Attac	chment		
		To	otal funds reque	sted for all equip	ment listed in	the attac	ched file					
			·				uipment					

D.	Travel		Funds Requested (\$)
1.	Domestic Travel Costs (Incl. Canada, Mexico and U.S.	Possessions)	0.00
2.	Foreign Travel Costs		0.00
		Total Travel Cost	0.00
Ε.	Participant/Trainee Support Costs		Funds Requested (\$)
1.	Tuition/Fees/Health Insurance		0.00
2.	Stipends		0.00
3.	Travel		0.00
4.	Subsistence		0.00
5.	Other		
	Number of Participants/Trainees	Total Participant/Trainee Support Costs	0.00

F.	Other Direct Costs					Funds Requested (\$)
1.	Materials and Supplies					
2.	Publication Costs					
3.	Consultant Services					
4.	ADP/Computer Services					
5.	Subawards/Consortium/Contractual Costs					
6.	Equipment or Facility Rental/User Fees					
7.	Alterations and Renovations					
8.	All Other Direct Costs					50,000.0
9.						
10.						
				Total Oth	er Direct Costs	50,000.0
G . I	Direct Costs					Funds Requested (\$)
			Total Di	rect Cos	ts (A thru F)	50,000.00
<u>H. I</u>	ndirect Costs					
	Indirect Cost Type	Indirect C	ost Rate (%)	Indirect	Cost Base (\$)	Funds Requested (\$)
	MTDC	0.00)		0.00	0.0
				Total In	direct Costs	0.00
	nizant Federal Agency ncy Name, POC Name, and					
	Phone Number) Office of Naval Resear	rch, Chicago	Office, 312	2-886-54	23	
<u>I. T</u>	otal Direct and Indirect Costs					Funds Requested (\$)
	Total D	irect and Ind	irect Institu	itional C	osts (G + H)	50,000.00
<u>J. F</u>	ee					Funds Requested (\$)
						0.0
<u>K.</u> -	Total Costs and Fee					Funds Requested (\$)
			Total (Costs ar	nd Fee (I + J)	50,000.00
<u>L. E</u>	Budget Justification					
(Onl	y attach one file.) Basic_Budget_Justification	_20201027465	Add Attach	ment	Delete Attachmer	View Attachment

RESEARCH & RELATED BUDGET - Cumulative Budget

ר	otals (\$)
Section A, Senior/Key Person	0.00
Section B, Other Personnel	
Total Number Other Personnel	
Total Salary, Wages and Fringe Benefits (A+B)	0.00
Section C, Equipment	
Section D, Travel	0.00
1. Domestic 0.	00
2. Foreign 0.	00
Section E, Participant/Trainee Support Costs	0.00
1. Tuition/Fees/Health Insurance	00
2. Stipends 0.	00
3. Travel 0.	00
4. Subsistence	00
5. Other	
6. Number of Participants/Trainees	
Section F, Other Direct Costs	200,000.00
Materials and Supplies	
2. Publication Costs	
3. Consultant Services	
4. ADP/Computer Services	
5. Subawards/Consortium/Contractual Costs	
6. Equipment or Facility Rental/User Fees	
7. Alterations and Renovations	
8. Other 1 200,000.	00
9. Other 2	
10. Other 3	
Section G, Direct Costs (A thru F)	200,000.00
Section G, Direct Costs (A thru F) Section H, Indirect Costs	200,000.00
Section H, Indirect Costs	0.00

OMB Number: 4040-0001 Expiration Date: 12/31/2022

RESEARCH & RELATED Senior/Key Person Profile (Expanded)

PROFILE - Project Director/Principal Investigator					
Prefix: Dr. * First Name: Karen	Middle Name: Ann				
* Last Name: Thole Suffix:					
Position/Title: Department Head and Distinguished Professor D	epartment: Mechanical Engineering				
Organization Name: PENNSYLVANIA STATE UNIVERSITY-UNIV PARK	Division: Engineering				
* Street1: 0137 REBER BUILDING					
Street2:					
* City: UNIVERSITY PARK County/ Parish:					
* State: PA: Pennsylvania	Province:				
* Country: USA: UNITED STATES	* Zip / Postal Code: 16802-7000				
* Phone Number: 814-865-2519 Fax Number:					
* E-Mail: kat18@psu.edu					
Credential, e.g., agency login:					
* Project Role: PD/PI Other Project Ro	ole Category:				
Degree Type:					
Degree Year:					
*Attach Biographical Sketch	Add Attachment Delete Attachment View Attachment				
Attach Current & Pending Support	Add Attachment Delete Attachment View Attachment				
222515 0 1 1/4					
PROFILE - Senior/Key					
	Prefix: Middle Name: Middle Name:				
* Last Name:	Suffix:				
Position/Title: Department: Department:					
Organization Name: Division:					
* Street1: Street2:					
* State:	* City: County/ Parish:				
* Country: USA: UNITED STATES					
* E-Mail:					
Credential, e.g., agency login:					
* Project Role: Other Project Ro	Die Category:				
Degree Type:					
Degree Year:					
Attach Biographical Sketch	Add Attachment Delete Attachment View Attachment				
Attach Current & Pending Support	Add Attachment Delete Attachment View Attachment				

Supporting the Navy Educational Workforce Through Diversity and Inclusion

Department of Mechanical Engineering
Pennsylvania State University, University Park, PA
August 13, 2020
Karen A. Thole, Department Head and Distinguished Professor, kthole@psu.edu

Approved for Public Release

Project Summary

To solve today's engineering challenges in energy, defense, environment, health, and society, we need a wide range of solutions, which can be realized by having a diverse group of engineers who have strong technical backgrounds. This document proposes activities that will develop a diverse and inclusive workforce for the Navy within the Department of Mechanical Engineering at the Pennsylvania State University. Specifically, over four years we will engage and prepare undergraduate and graduate students from a diverse population who are highly talented to participate in the many opportunities brought forth by the Navy including the following: Department of Defense National Defense Science and Engineering Graduate Fellow Program (NDSEG); the Naval Research Enterprise Intern Program (NREIP); and the Science, Mathematics and Research for Transformation (SMART) Program. The engagement and preparation of these undergraduate and graduate students will be accomplished through close collaboration with existing diversity programs in Penn State's College of Engineering. Faculty programs supported by the Office of Naval Research including the sabbatical leave program and the summer faculty research program will be highlighted to the diverse faculty within the Department of Mechanical Engineering. This proposal provides background information regarding the Department of Mechanical Engineering and a proposed plan towards the development of students whose gender, race, ethnic, cultural, and/or national background contribute to the diversity of the student body to assist with a diverse and inclusive workforce for the Navy.

BUDGET JUSTIFICATION The Pennsylvania State University

Participant Support Cost - \$50,000/year is budgeted for:

- Professional support to assist students in preparation of applications for the NDSEG, SMART, and NREIP, \$1,000/y
- Travel to NAVAIR and the Navy Yard (DC), \$1,000/y
- Targeted scholarships for ME undergraduates enrolled with the Women in Engineering Program or successor program (11 at \$2,000 each), \$22,000/y
- Top up fellowships for students who contribute to the diversity of the graduate student population (5 at \$5,000 each), \$25,000/y

Facilities and Administrative Costs - F&A rates are negotiated and approved by the Office of Naval Research, Penn State's cognizant federal agency. Penn State's current provisional on-campus rate for research is (b) (4) of MTDC from July 1, 2020, through June 30, 2021. New awards and new competitive segments with an effective date of July 1, 2021, or later shall be subject to adjustment when superseding Government approved rates are established. Per 2 CFR 200 (Appendix III, Section C.7), the actual F&A rates used will be fixed at the time of the initial award for the duration of the competitive segment.

BAA Number: N00014-20-S-B001

Technical Proposal:

Supporting the Navy Educational Workforce Through Diversity and Inclusion

PI: Professor Karen A. Thole

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Period of Performance: October 1, 2020 – September 30, 2024

Table of Contents

Introduction	3
Department of Mechanical Engineering	3
Existing College of Engineering and Department Diversity and Inclusion Efforts	4
Technical Approach: Proposed Activities to Develop a Diverse and Inclusive Workforce for the Navy	6
Proposed Funds Usage	7
PI Qualifications	8
Current and Pending Support	10

Supporting the Navy Educational Workforce Through Diversity and Inclusion

Introduction

To solve today's engineering challenges in energy, defense, environment, health, and society, we need a wide range of solutions, which can be realized by having a diverse group of engineers who have strong technical backgrounds. This document proposes activities that will develop a diverse and inclusive workforce for the Navy within the Department of Mechanical Engineering at the Pennsylvania State University. Specifically, over four years we will engage and prepare undergraduate and graduate students from a diverse population who are highly talented to participate in the many opportunities brought forth by the Navy including the following: Department of Defense National Defense Science and Engineering Graduate Fellow Program (NDSEG); the Naval Research Enterprise Intern Program (NREIP); and the Science, Mathematics and Research for Transformation (SMART) Program. The engagement and preparation of these undergraduate and graduate students will be accomplished through close collaboration with existing diversity programs in Penn State's College of Engineering. Faculty programs supported by the Office of Naval Research including the sabbatical leave program and the summer faculty research program will be highlighted to the diverse faculty within the Department of Mechanical Engineering. This proposal provides background information regarding the Department of Mechanical Engineering and a proposed plan towards the development of students whose gender, race, ethnic, cultural, and/or national background contribute to the diversity of the student body to assist with a diverse and inclusive workforce for the Navy.

Department of Mechanical Engineering

In the Department of Mechanical Engineering at Penn State, we serve more than 750 undergraduate students and more than 330 graduate students. The Department's faculty members are actively involved in providing a hands-on education at the undergraduate and graduate levels along with many individualized research opportunities.

The Department is committed to solving problems related to health, happiness, and safety, and along the way, often changing the way people think about the world. Critical to reaching these goals are diversity and inclusion, with different perspectives bringing new, innovative solutions. The Department

of Mechanical Engineering at the Pennsylvania State University values and is committed to diversity, equity, and inclusion for their community of faculty, staff, and students. In the past ten years, faculty diversity increased in several ways: the percentage of female professors increased from 6% to 25%, Black faculty increased from zero to two, and Hispanic faculty increased from one to two. Also, over the past ten years, the percentage of women ME undergraduates has increased from 9% to 18%; however, in the past three years the increase has been from 14% to 18%, which is because of two very defined activities that are outlined below. The number of other under-represented students in the Department is still relatively low at 6%, which is consistent with the national average.

It is also worth noting that the demographics of the faculty in the Department of Mechanical Engineering is such that nearly 50% are at the Assistant Professor level while the remainder are at the Associate and Full Professor levels. In the past five years, the Assistant Professor co-hort in ME have been successful in winning a PECASE, many NSF CAREER Awards, ONR YIPs, and AFOSR YIPs. These faculty bring tremendous opportunities to our undergraduate and graduate students in doing research in their laboratories with excellent, state-of-the-art equipment purchased through their start-up packages. These faculty are also seeking new research collaborations and many are working in areas of interest to the Navy.

Existing College of Engineering and Department Diversity and Inclusion Efforts

Numerous activities exist within Penn State's College of Engineering and the Department of Mechanical Engineering to promote diversity and inclusion within the faculty and students. Those involving students are briefly described in this section of the proposal.

Engineering Ambassador Program. By sharing our passion and pride, Engineering Ambassadors inspire K-12 students to challenge conventional ideas about science and engineering. Through communication and leadership, the Engineering Ambassadors strive to impact change as world-class engineers and seek to inspire the next generation of engineers to improve the health, happiness, and safety of our world. This program has been hugely successful with over 400 EAs over the life of the program. The Engineering Ambassador Program, which has heavy involvement from ME, has the mission to increase the diversity of those seeking engineering degrees and to strengthen the communication and leadership skills of those currently seeking engineering degrees. To increase the diversity, the Program emphasizes advanced communication skills in front of middle and high school classes. In the 2020/21 academic year, 68% of

the EAs are women. The EAs typically reach about 5,000 K-12 students each year and hope to expand through virtual outreach that is not geographically bound.

Multi-Cultural Engineering Program. The Multicultural Engineering Program (MEP) strives to foster a welcoming environment that celebrates culture and inclusion. It is our mission to improve the recruitment and retention of underrepresented groups who are pursuing a degree in engineering. We aim to do this through developing students both academically and professionally and through engagement in impactful leadership opportunities. Engagement with incoming first year students occurs through our flagship summer programming. The Engineering Summer Bridge Program is a 6-week academic enhancement program designed to assist in the transition from high school to college. Our research indicates that participants achieve higher GPAs than non-participants, are more likely to graduate from the College of Engineering, are more likely to obtain competitive-salary internships, co-ops and full time employment and acclimate more readily to the college environment. In 2019, 35 students participated in the Engineering Summer Bridge Program. The Multicultural Engineering Program Orientation (MEPO) is an exciting three day orientation program where participants engage in an engineering design competition, receive mentorship from upper-level students, become acclimated to the resources on campus, develop professional development skills such as resumes and elevator pitches, and network with industry representative in our career networking luncheon. The MEPO Networking Luncheon enables our industry partners to connect with diverse future interns and employees who are eager to begin on the path of their future careers. In 2019, our partners interacted with 92 incoming first year participants and 45 upper level student staff. 31 of our first-year student participants identified as women of color and 14 students indicated Mechanical Engineering as their intended major. MEP Nights are monthly professional development events for all of our students. Each event is focused on a specific theme such as career readiness, student research, and global engagement.

It's All About ME. Each year, the Department works together from women leaders in the ASME student network to hold many events focused on first and second year students to alert them to opportunities in the discipline. These activities include lab tours, discussions about internships and study abroad opportunities, and lunches with the Department Head. This program has been quite successful in building a co-hort of women undergraduates in ME.

Techincal Approach:

Proposed Activities to Develop a Diverse and Inclusive Workforce for the Navy

The activities outlined in this proposal are to broaden the awareness of the many opportunities afforded by the Navy to the faculty and students in the Department of Mechanical Engineering at Penn State. Emphasis will be placed on developing a diverse and inclusive pipeline of talent for the Navy.

1. Highlighting Navy Opportunities to the Students and Faculty. Over the next four years we will highlight the following Navy programs to our students: Department of Defense National Defense Science and Engineering Graduate Fellow Program (NDSEG); the Naval Research Enterprise Intern Program (NREIP); and the Science, Mathematics and Research for Transformation (SMART) Program. All of the undergraduates in the Department of Mechanical Engineering will be made aware of these opportunities through incorporating information about these opportunities into two of our required undergraduate courses: ME 390 (for juniors) and ME 490 (for seniors). These two courses focus on academic and career development.

To further prepare our undergraduate and graduate students for these opportunities, special lunch-and-learn sessions will be set up to learn about the NDSEG, NREIP, and SMART programs. Strong collaboration in encouraging students to attend will take place with the various programs already mentioned (Engineering Ambassadors, MEP, and It's All About ME) as well as the Women in Engineering Program, Society of Women Engineers (SWE), National Society of Black Engineers (NSBE) and Society of Hispanic Professional Engineers (SHPE). Resources will also be provided to students in assisting preparation of the applications to these programs.

Faculty will be made aware of the sabbatical leave program and the summer faculty research program through invited visits and focused meetings with ONR personnel once per year.

2. Targeted Undergraduate and Graduate Scholarships. Over the past three years there has been an increase in the percentage of women ME undergraduates through many supportive efforts. The Department will make use of the requested funding for scholarships awarded to students who are actively enrolled with the Women in Engineering Program or a successor program and who are considering mechanical engineering during the decision making time prior to entering their specific engineering degree program (generally after the first year at Penn State). These students will be involved in the informational session described by the previous activity highlighting the Navy opportunities.

At the graduate level, the Department has made a conscious effort to increase the US population of students and, in particular, diversity the student population. The Department has made this effort by recruiting from the highly qualified undergraduate pool in the Department through offering a five year guarantee of support to complete their PhD as well as a \$5000 "signing bonus. These efforts resulted in a 33% acceptance rate for those women who were offered admission to our ME Program. The proposed request is to continue these aggressive recruiting efforts adding encouragement for students to fill out the applications for the SMART and NDSEG Programs. Note that these proposed one-time fellowships are not for those students who are receiving a SMART or NDSEG fellowship but rather for students prior to them applying for the SMART or NDSEG fellowships for further encouragement to apply.

Proposed Funds Usage

The proposed budget is to support a four year program to support the Navy's workforce with a focus on diversity and inclusion. As indicated in the budget below, support will be provided to assist students in preparing Navy-related scholarships/fellowships. In addition, to further excite students about the opportunities with the Navy, annual trips will be made for those holding the scholarships to NAVAIR and the Navy Yard in Washington, DC. Measures of success will be measured in the number of applications to the Navy programs previously described in the proposal. The proposed start date is October 1, 2020 with an end date of September 30, 2024.

Activity	Year 1	Year 2	Year 3	Year 4
Professional support to assist students in preparation of	\$1,000	\$1,000	\$1,000	\$1,000
applications for the NDSEG, SMART, and NREIP				
Travel to NAVAIR and the Navy Yard (DC)	\$1,000	\$1,000	\$1,000	\$1,000
Targeted scholarships for ME undergraduates enrolled with	\$22,000	\$22,000	\$22,000	\$22,000
the Women in Engineering Program or successor program				
(11 at \$2,000 each)				
Top up fellowships for students who contribute to the	\$25,000	\$25,000	\$25,000	\$25,000
diversity of the graduate student population (5 at \$5,000				
each)				
Annual Total Requested	\$50,000	\$50,000	\$50,000	\$50,000

Name of Key Person: Karen A. Thole

Key Person's Employer: Dept of Mechanical Engineering, Penn State University

Education:

University of Illinois at Urbana-Champaign	Mechanical Engineering	B.S., 1982
University of Illinois at Urbana-Champaign	Mechanical Engineering	M.S., 1984
University of Texas at Austin	Mechanical Engineering	Ph.D., 1992

Experience:

-	2006-present	Department Head	Mechanical Engineering Department
		Distinguished Professor	The Pennsylvania State University
	2004-2006	Assistant Dept Head	Mechanical Engineering Department
		William Cross Prof	Virginia Polytechnic Institute and State University
	2003-present	Professor	Mechanical Engineering Department
			Virginia Polytechnic Institute and State University
	2000-2003	Associate Professor	Mechanical Engineering Department
			Virginia Polytechnic Institute and State University
	1999-2000	Assistant Professor	Mechanical Engineering Department
			Virginia Polytechnic Institute and State University
	1994-1998	Assistant Professor	Mechanical Engineering Department
			University of Wisconsin-Madison
	1993-1994	Post-doc Researcher	Institute of Thermal Turbomachinery
			Karlsruhe Institute of Technology, Germany

Professional Affiliations, Registrations, Certifications, and Licenses: ASME (Fellow), AIAA (Associate Fellow), Associate Editor for *AIAA J of Thermophysics*, Editorial Board for *Physics of Fluids*

Publications, Awards, Honors, and Professional Recognition: Ten Relevant Publications (over 270 peer-reviewed publications)

- Monge-Concepción, I, Berdanier, R. A., Barringer, M. B., Thole, K. A. and Robak, C., 2020, "Evaluating the Effect of Vane Trailing Edge Flow on Turbine Rim Sealing" *Journal of Turbomachinery*, doi-org.ezaccess.libraries.psu.edu/10.1115/1.4047611
- Snyder, J. C. and Thole, K. A., 2020, "Performance of Public Film Cooling Geometries Produced through Additive Manufacturing" *Journal of Turbomachinery*, vol. 142(5), pp. 051009.
- Siroka, S., Berdanier, R. A., Thole, K. A., Chana, K., Haldeman, C. W., and Anthony, R. J., 2020, "Comparison of Thin Film Heat Flux Gauge Technologies Emphasizing Continuous-Duration Operation," *Journal of Turbomachinery*. DOI: https://doi.org/10.1115/1.4045991
- Berdanier, R. A., Monge-Concepcion, I., Knisely, B. F., Barringer, M., Thole, K. A., Grover, E., 2019, "Scaling Sealing Effectiveness in a Stator-Rotor Cavity for Differing Blade Spans," *Journal of Turbomachinery*, Vol. 141 (5), pp. 051007.
- Stimpson, C., Snyder, J., Thole, K. A., and Mongillo, D., 2018 "Effects of Coolant Feed Direction on Additively Manufactured Film Cooling Holes" *Journal of Turbomachinery*, Vol 140(11), pp. 111001.
- Clark, K., Barringer, M., Johnson, D., Thole, K. A., Grover, E., and Robak, C., 2018 "Effects of Purge Flow Configuration on Sealing Effectiveness in a Rotor-Stator Cavity" *Journal of Engineering for Gas Turbines and Power*, vol. 140(11), pp. 112502.

- Town, J., Straub, D. Black, J., Thole, K. A., and Shih, T., 2018 "State-of-the-Art Cooling Technology for a Turbine Rotor Blade," *Journal of Turbomachinery*, vol. 140 (7), pp. 071007.
- Clark, K., Barringer, M., Thole, K., Clum, C., Hiester, P., Memory, C., Robak, C., 2017, "Effects of Purge Jet Momentum on Sealing Effectiveness," *Journal of Engineering for Gas Turbines and Power*, vol. 139(3), pp. 031904.
- Clark, K., Barringer, M., Thole, K. A., Clum, C., Hiester, P., Memory, C., Robak, C., "Using a Tracer Gas to Quantify Sealing Effectiveness for Engine Realistic Rim Seals," International Gas Turbine and Aeroengine Congress and Exposition, Seoul, S. Korea, GT2016-58095.
- Bogard, D. G. and Thole, K. A., 2006, "Gas Turbine Film Cooling," *AIAA Journal of Propulsion*, vol. 22, pp. 249-271.

Recent Awards

AIAA Air Breathing Propulsion Award, 2019

ABET Claire L. Felbinger Diversity Award, 2017

53rd AIAA/SAE/ASME Joint Propulsion Conference Best Paper Award, 2017

University Distinguished Professor, Penn State, 2017

ASME Edwin F. Church Medal for Engineering Education, 2016

College of Engineering's Distinguished Service Alumni Award, University of Illinois, 2016

ASME George Westinghouse Gold Medal, 2015

ASME Distinguished Service Award, 2015

Howard B. Palmer Faculty Mentoring Award, Penn State, 2015

Society of Women Engineers Distinguished Engineering Educator Award, 2014

Distinguished Alumnae in Mechanical Science and Engineering, University of Illinois, 2013 Rosemary Schraer Mentoring Award, Penn State, 2012

U. S. White House *Champion of Change* in Science, Technology, Engineering, and Math, 2011 Best Heat Transfer Paper, ASME's International Gas Turbine Institute, 2005, 2009, 2013, 2016

K. A. Thole

Federal Current and Pending Support:

Title: Improving Turbine Efficiencies through Heat Transfer and Aerodynamic Research in the

Steady Thermal Aero Research Turbine (START)

Sponsor: U.S. Department of Energy – National Energy Technology Laboratory

Total Amount: \$8,226,916

Period of Performance: 10/01/2015-09/30/2021

Location of Project: The Pennsylvania State University

Status: Active

Effort (person months): Cal: 4% 00

Title: Real-Time Health Monitoring of Gas Turbine Components Using Online Learning and

High-Dimensional Data

Sponsor: DOE-UTSR as sub from Georgia Tech Research Corporation

Period of Performance: 10/1/2017-9/30/2020

Total Budget Requested: \$212,775

Location of Project: The Pennsylvania State University

Status: Active

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 0

Title: LES and RANS/DERM Modeling for Design Optimization of Additively and

Conventionally Manufactured Internal Turbine Cooling Passages

Sponsor: US Department of Energy, National Energy Technology Laboratory - University

Turbine Systems Research (UTSR)

Total Amount: \$600,000

Period of Performance: 01/01/2018-12/31/2020

Location of Project: The Pennsylvania State University

Status: Active

Effort (person months): Cal: 0.00 Acad: 0.00 Sumr: 0.00

Title: Integration of Sensors through Additive Manufacturing Leading to Increased Efficiencies

of Gas turbines for Power Generation and Propulsion Sponsor: Advanced Research Projects Agency-Energy

Period of Performance: 7/30/2019-7/30/2022

Total Budget Requested: \$5,879,897

Location of Project: The Pennsylvania State University

Status: Active

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 0

Title: Conjugate heat transfer for LES of gas turbine engines

Sponsor: U.S. Department of the Navy as sub from Cascade Technologies

Period of Performance: 9/11/2019-12/31/2020

Total Budget Requested: \$72,000

Location of Project: The Pennsylvania State University

Status: Active

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 1%

Title: Integrated turbine component cooling designs facilitated by additive manufacturing and

optimization

Sponsor: DOE-UTSR as sub from University of Texas at Austin

Period of Performance: 9/1/2019-8/31/2022

Total Budget Requested: \$400,000

Location of Project: The Pennsylvania State University

Status: Active

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 0

Title: Characterizing Coke Deposition in Additively Manufactured Parts

Sponsor: US Air Force as sub from Reaction Systems, Inc.

Period of Performance: 7/31/2019-9/30/2020

Total Budget Requested: \$56,000

Location of Project: The Pennsylvania State University

Status: Active

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 1% AY

Title: Turbine Cooling through Additive Manufacturing – 56

Sponsor: FAA ASCENT

Period of Performance: 2/5/2020 – 2/5/2022

Total Budget Requested: \$800,000

Location of Project: The Pennsylvania State University

Status: Active

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 0

Title: Combustor Wall Cooling Concepts for Dirt Mitigation—68

Sponsor: FAA ASCENT

Period of Performance: 6/1/2020-5/31/2021

Total Budget Requested: \$150,000

Location of Project: The Pennsylvania State University

Status: Active

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 1% Cal

Title: Novel Hot Gas Path Components for Gas Turbine Engines Enabled by Materials and AM

Process Development

Sponsor: DOE as sub from Oak Ridge National Lab

Period of Performance: 4/1/2020-3/31/2023

Total Budget Requested: \$399,000

Location of Project: The Pennsylvania State University

Status: Pending

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 1%

Title: Characterization of Turbine Performance with Varying Inlet Temperature Profiles Sponsor: Energy Advanced Research Projects Agency as a sub from HolosGen LLC

Period of Performance: 4/1/2020-3/31/2021

Total Budget Requested: \$90,000

Location of Project: The Pennsylvania State University

Status: Pending

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 0

Title: Optical Heat Flux Measurements for Engine and Turbine Rigs to Accelerate Turbine

Development

Sponsor: Office of Naval Research

Period of Performance: 2/1/2021-1/31/2022

Total Budget Requested: \$698,920

Location of Project: The Pennsylvania State University

Status: Pending

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 0

Title: De-risking "plug-and-play" Microreactor Components Using a Nuclear Prototype

Sponsor: Department of Engergy as a sub from HolosGen LLC

Period of Performance: 4/1/2021-3/31/2026

Total Budget Requested: \$800,000

Location of Project: The Pennsylvania State University

Status: Pending

Person-Months per Year Committed to the Project: Cal/Smr/Acad: 0